# Amendment and Compilation of Chapter 19-150 Hawaii Administrative Rules

# XXX XX, 2021

1. Chapter 19-150, Hawaii Administrative Rules, entitled "Autonomous Vehicle Regulations", is adopted to read as follows:

"HAWAII ADMINISTRATIVE RULES

TITLE 19

DEPARTMENT OF TRANSPORTATION

SUBTITLE 5

MOTOR VEHICLE SAFETY OFFICE

CHAPTER 150

#### AUTONOMOUS VEHICLE REGULATIONS

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\$19-150-1 Definitions. Unless the context clearly indicates otherwise, as used in this chapter:
 "ADS-equipped vehicle" means a vehicle equipped with an Automated Driving System.

"Advanced Driver-Assistance Systems" and "ADAS" mean systems designed to help drivers with certain driving tasks (e.g., staying in the lane, parking, avoiding crashes, reducing blind spots, and maintaining a safe headway).

"Automated driving system" and "ADS" mean the hardware and software that are collectively capable of performing the entire dynamic driving task on a sustained basis, regardless of whether it is limited to a specific operational design domain.

"Automated mode" means the mode that is set in the vehicle in order for the automated actions to take over and the driver or user does not control the functions of the vehicle.

"Automated vehicle" and "AV" means an automated-driving-system-equipped vehicle capable of performing all aspects of the dynamic driving task within its operational design domain, if any, including achieving a minimal risk condition, without the intervention or supervision, by a conventional human driver.

"Automated vehicle testing" and "AVT" means the testing of ADS-equipped vehicles on public roadways deployment), and modifiers (individuals or companies making changes to existing vehicles after first retail sale or deployment).

"Crash report" means a report completed by a law enforcement officer who investigates a motor vehicle crash.

"Data collection mechanisms" and "DCM" mean recording media, including but not limited to on-board electronic data recorders, on-board computer processing units, and cloud-based computer processing units.

"Department" means the Department of Transportation.

"Driver" means a human user who performs in realtime part or all of the dynamic driving task and dynamic driving task fallback for a particular vehicle. In a vehicle equipped with an ADS, a driver may in some vehicles assume or resume performance of part or all of the dynamic driving task from the ADS during a given trip. "Driver history" means the record containing all convictions and other licensing actions of each driver.

"Driver testing" means the examination of an applicant to determine whether the applicant possesses the knowledge, skills, and ability to safely operate a vehicle on public roadways.

"Dynamic driving task" and "DDT" mean all of the real-time operational and tactical functions required to operate a vehicle in on-road traffic.

"Endorsement" means an authorization to an individual's driver's license permitting the individual to operate certain types of vehicles.

"Human-machine interface" and "HMI" mean software and hardware that displays process status information, historical information, reports, and other information to operators, administrators, managers, business partners, and other authorized users and allows:

- (1) Human operators to monitor the state of a process under control, modify control settings to change the control objective, and manually override automatic control operations in the event of an emergency; and
- (2) Control engineers or operators to configure set points or control algorithms and parameters in the controller, and to monitor and configure set points, control algorithms, send commands, and adjust and establish parameters in the controller.

"Incident" means an occurrence involving one or more vehicles in which a hazard is involved but not classified as a crash because of the degree of injury and extent of damage.

"Level 0 - No Driving Automation" means the SAE classification of vehicle automation in which the driver performs the entire dynamic driving task even when enhanced by active safety systems.

"Level 1 - Driver Assistance" means the SAE classification of vehicle automation in which sustained and operational design domain-specific execution by a driving automation system of either the lateral or the longitudinal vehicle motion control subtask of the dynamic driving task (but not both

simultaneously) with the expectation that the driver performs the remainder of the dynamic driving task.

"Level 2 - Partial Driving Automation" means the SAE classification of vehicle automation in which the sustained and operational design domain-specific execution by an ADS of both the lateral and longitudinal vehicle motion control subtasks of the dynamic driving task with the expectation that the driver completes the object and event detection and response subtask and supervises the ADS.

"Level 3 - Conditional Driving Automation" means the SAE classification of vehicle automation in which the sustained and operational design domain-specific performance by an automated driving system of the entire dynamic driving task with the expectation that the dynamic driving task fallback-ready user is receptive to automated driving system issued requests to intervene, as well as to dynamic driving task performance relevant system failures in other vehicle systems, and will respond appropriately.

"Level 4 - High Driving Automation" means the SAE classification of vehicle automation in which the sustained and operational design domain-specific performance by an automated driving system of the entire dynamic driving task and dynamic driving task fallback without any expectation that a user will respond to a request to intervene.

"Level 5 - Full Driving Automation" means the SAE classification of vehicle automation in which the sustained and unconditional (i.e., not operational design domain-specific) performance by an automated driving system of the entire dynamic driving task and dynamic driving task fallback without any expectation that a user will respond to a request to intervene.

"Manufacturer" means an individual or company that designs, produces, or constructs vehicles or equipment. The term includes original equipment manufacturers, multiple and final stage manufacturers, modifiers or upfitters.

"Non-drivers" means a user of an automated vehicle who normally would not be able to drive a vehicle (i.e., age limitations, disabilities).

"Occupant" means a human in the vehicle, regardless of role or responsibility.

"Other entities" means any individual, institution or company, that is not a manufacturer, involved with helping to design, supply, test, operate, or deploy automated vehicles, technology, or equipment.

"Permit" means the valid document issued by the department granting authorization to a manufacturer to conduct testing of its autonomous vehicles on public highways and roadways.

"Remote driver" means a driver who is not seated in a position to manually exercise in-vehicle braking, accelerating, steering, and transmission gear selection input devices but is able to operate the vehicle.

"Reportable crash" means a collision resulting in a person's injury or death or property damage pursuant to section 291C-16(a), Hawaii Revised Statutes.

"Request to Intervene" means that notification by the automated driving system to a driver indicating that the driver should promptly perform the dynamic driving task fallback.

"Society of Automotive Engineers International" and "SAE" mean an automotive and aerospace standard setting body that coordinates development of voluntary consensus standards.

"Testing" means the operation of an ADS-equipped vehicle on public roads by employees, contractors, or designees of a manufacturer or other entities for the purpose of assessing, demonstrating, and validating the automated driving system capabilities.

[Eff ] (Auth: SLH (2020), Act 21 §1) (Imp: SLH (2020), Act 21 §1)

§19-150-2 Permit required. An AV shall not be tested on any public highway or roadway in the state until the manufacturer or other entity has submitted and the department has approved an application for a permit to test autonomous vehicles.

[Eff ] (Auth: SLH (2020), Act 21 §1) (Imp: SLH (2020), Act 21 §1)

- \$19-150-4 Manufacturer and other entities requirements. (a) Manufacturers and other entities involved in AVT shall designate their employees, contractors or other persons [who are authorized] to operate their AVs.
- (b) Manufacturers and other entities shall provide all their employees, contractors or other persons designated to operate their AVs the training and instruction related to, but not limited to, the operation, capabilities and limitations of the AV being tested.
- (c) Manufacturers and other entities shall certify that all drivers have had a driving history and background check and have been found suitable to participate in the testing program.
- (d) Manufacturers and other entities shall ensure that all drivers of their vehicles being tested are properly licensed to operate such vehicle.
- (e) Manufacturers and other entities shall
  provide to the department:
- (1) A list of all drivers operating their
  vehicle(s);
- (2) A certification that such drivers have
  received the appropriate training and instruction
  relating to the operation, capabilities and
  limitations of the AVs being tested. [Eff
  ] (Auth: SLH (2020), Act 21 §1) (Imp: SLH (2020),
  Act 21 §1)
- **§19-150-5** Driver requirements. (a) All drivers shall be employees, contractors or other persons designated by the manufacturer or other entity to

operate the vehicles being tested pursuant to this chapter.

- (b) All drivers shall be required to receive and complete the training and instruction relating to the operation, capabilities and limitations of the vehicles being tested pursuant to this chapter by its manufacturer or other entity.
- (c) All drivers shall be subject to the background checks as required in section 19-150-4(c).
- (d) All drivers shall be properly licensed to drive in the United States and to operate any vehicles being tested pursuant to this chapter.

[Eff ] (Auth: SLH (2020), Act 21 §1) (Imp: SLH (2020), Act 21 §1)

### §19-150-6 Vehicle requirements and inspections.

- (a) All AVs in the testing program must be certified to be in compliance with all applicable local and federal laws and regulations, including the Federal Motor Vehicle Safety Standards (FMVSS), or are exempted per federal law;
- (b) All AVs in the testing program shall be registered in the State of Hawaii.
- §19-150-7 Insurance. Prior to commencement of testing, a permitted manufacturer or other entities must produce evidence of commercial automobile insurance as required by the Hawaii Revised Statutes Chapter 431, Article 10C. [Eff ] (Auth: SLH (2020), Act 21 §1)
- §19-150-8 Reporting. (a) A permitted manufacturer or other entities shall submit a report to the department on June 30 and December 31 each year

during the term of the permit. For the preceding six month period, the report shall include total number of reportable crashes and descriptions of conditions thereof, total number of incidents and descriptions of conditions thereof, total number of occurrences of disengagement of AV mode and descriptions of conditions thereof, and reports of any safety defects identified.

(b) A permitted manufacturer or other entities shall notify the Department within 24 hours of a reportable crash. [Eff ] (Auth: SLH (2020), Act 21 §1)

**§19-150-9 Permit revocation.** (a) The Department may suspend or revoke a permit for any of the following reasons:

- (1) The permitted manufacturer or other entities submitted incorrect or misleading information in its permit application;
- (2) The permitted manufacturer or other entities fail to report to the department any change to the information or certifications required and provided in the permit application under §19-150-3 within ten business days of the date of the change; or
- (3) The permitted manufacturer or other entities fail to notify the Department within 24 hours of a reportable crash.
- (3) The permitted manufacturer or other entities fail to comply with any of the provisions of this chapter related to the testing of autonomous vehicles.
- (b) The Department may immediately suspend a permit for any of the following reasons:
  - (1) If the permitted manufacturer or other entities test any vehicle equipped with autonomous vehicle functions that were not disclosed in the manufacturer's permit application;
  - (2) If the permitted manufacturer or other entities have misrepresented any information

- related to safety of the autonomous technology of its vehicles;
- (3) If the National Highway Traffic Safety
  Administration determines that the
  autonomous technology of the permitted
  manufacturer's or other entities' vehicles
  makes inoperative any federally required
  motor vehicle safety systems;
- (4) If the permitted manufacturer's or other entities autonomous vehicles are subject to an open National Highway Traffic Safety Administration recall related to the vehicle's autonomous technology; or
- (5) Based upon the performance of the vehicles, the Department determines the permitted manufacturer's or other entities vehicles are not safe for the public's operation.
- (c) Upon suspension or revocation of a permit by the Department, a permitted manufacturer or other entities shall cease all further testing of affected autonomous vehicles with the affected autonomous technology feature enabled on public streets until the Department has verified that the permitted manufacturer or other entities have taken appropriate action to correct the deficiencies or complied with the National Highway Traffic Safety Administration recall that led to the suspension or revocation and the suspension has been lifted or the revocation withdrawn by the department. [Eff ]

  (Auth: SLH (2020), Act 21 §1) (Imp: SLH (2020), Act 21 §1)

§19-150-10 Severability. If any section of this chapter is held invalid for any reason, the invalidity shall not affect the validity of the remaining sections of this chapter. [Eff ] (Auth: SLH (2020), Act 21 §1)

\$19-150-11 Reserved.

# §19-150-12 Reserved.

2. The adoption of chapter 19-150, Hawaii Administrative Rules, shall take effect ten days after filing with the Office of the Lieutenant Governor.

I certify that the foregoing are copies of the rules, drafted in the Ramseyer format pursuant to the requirements of section 91-4.1, Hawaii Revised Statutes, which were adopted on XXX XX, 2021, and filed with the Office of the Lieutenant Governor.

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Jade T. Butay
Director of Transportation

APPROVED AS TO FORM:

/s/ William J. Wynhoff
Deputy Attorney General

### DEPARTMENT OF TRANSPORTATION

Amendments to and compilation of chapter 19-150, Hawaii Administrative Rules, on the Summary Page dated XXX XX, 2021, were adopted on XXX XX, 2021, following a series of XXX public hearings held on \_\_\_\_\_\_, 2021, after public notice was given in the Honolulu Star-Advertiser, The Garden Island, The Maui News, Hawaii Tribune-Herald, and West Hawaii Today on XXX XX, 2021, and on XXX XX, 2021.

These amendments shall take effect ten days after filing with the Office of the Lieutenant Governor.

JADE T. BUTAY
Director
Department of Transportation

APPROVED AS TO FORM:

Deputy Attorney General

DAVID Y. IGE GOVERNOR STATE OF HAWAII

Date:

Filed